

B 210A (Form 210A) (12/09)

UNITED STATES BANKRUPTCY COURT

Southern District of New York

In re Lehman Brothers Holdings, Inc.

Case No 08-13555(JMP)

TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Notenstein Privatbank AG

Name of Transferee

Wegelin & Co. Privatbankiers

Name of Transferor

Name and Address where notices to transferee should be sent:

Notenstein Privatbank AG
Bohl 17
CH-9004 St. Gallen
Switzerland

Court Claim # (if known): 59233

Amount of Claim: Note: This is a Partial
Transfer of Claim. See
attached Evidence of
Transfer of Claim for
Details

Date Claim Filed: 10/30/2009

Phone: +41 71 242 57 52

Email: ca@notenstein.ch

Last Four Digits of Acct #: n/a

Phone: _____

Last Four Digits of Acct. #: n/a

Name and Address where transferee payments should be sent (if different from above):

Phone: _____

Last Four Digits of Acct #: _____

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: _____

Transferee/Transferee's Agent

Date: 10.05.2012

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

EVIDENCE OF TRANSFER OF CLAIM

TO: THE DEBTOR AND THE BANKRUPTCY COURT

For value received, the adequacy and sufficiency of which are hereby acknowledged, Wegelin & Co., Privatbankiers ("Transferor") unconditionally and irrevocably transferred to Notenstein Privatbank AG ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (**Claim No. 59233-59**) in the nominal amounts specified in schedule 1 related to the securities with International Securities Identification Number listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.


IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS
EXECUTED ON May 14th, 2012.

Wegelin & Co., Privatbankiers

By: 

Name: Michele Moor

Title: Managing Partner

By: 

Name: Stephan Welte

Title: General Counsel

Schedule 1

Lehman Programs Securities Related to Transferred Portion of Claim

ISIN	Court Claim #	Date Claim Filed	Issuer	Units/Amount of Claim Related to Security
XS0225326858	59233-59	October 29, 2009	Lehman Brothers Treasury Co. BV	CHF 50'000.--
XS0251909478	59233-59	October 29, 2009	Lehman Brothers Treasury Co. BV	718 Units
XS0324890440	59233-59	October 29, 2009	Lehman Brothers Securities NV	CHF 95'000.--
XS0326427480	59233-59	October 29, 2009	Lehman Brothers Securities NV	CHF 75'000.--
XS0320322901	59233-59	October 29, 2009	Lehman Brothers Securities NV	CHF 100'000.--
XS0303746571	59233-59	October 29, 2009	Lehman Brothers Treasury Co. BV	CHF 25'000.--
XS0335964648	59233-59	October 29, 2009	Lehman Brothers Treasury Co. BV	CHF 30'000.-

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Southern District of New York

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Case No 08-13555(JMP)

TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Notenstein Privatbank AG
Name of Transferee

Wegelin & Co. Privatbankiers
Name of Transferor

Name and Address where notices to transferee should be sent:

Notenstein Privatbank AG
Bohl 17
CH-9004 St. Gallen
Switzerland

Court Claim # (if known): 49651

Amount of Claim: Note: This is a Partial
Transfer of Claim. See
attached Evidence of
Transfer of Claim for
Details

Date Claim Filed: 10/30/2009

Phone: +41 71 242 57 52
Email: ca@notenstein.ch
Last Four Digits of Acct #: n/a

Phone: _____
Last Four Digits of Acct. #: n/a

Name and Address where transferee payments should be sent (if different from above):

Phone: _____
Last Four Digits of Acct #: _____

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: [Signature] Date: 30.05.2012
Transferee/Transferee's Agent

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

EVIDENCE OF TRANSFER OF CLAIM

TO: THE DEBTOR AND THE BANKRUPTCY COURT

For value received, the adequacy and sufficiency of which are hereby acknowledged, Wegelin & Co., Privatbankiers ("**Transferor**") unconditionally and irrevocably transferred to Notenstein Privatbank AG ("**Transferee**") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (**Claim No. 49651**) in the nominal amounts specified in schedule 1 related to the securities with International Securities Identification Number listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "**Debtor**"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "**Bankruptcy Court**").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS
EXECUTED ON May 14th, 2012.

Wegelin & Co., Privatbankiers

By: 

Name: Michele Moor

Title: Managing Partner

By: 

Name: Stephan Welti

Title: General Counsel

Schedule 1

Lehman Programs Securities Related to Transferred Portion of Claim

ISIN	Court Claim #	Date Claim Filed	Issuer	Units/Amount of Claim Related to Security
XS0128857413	49651	October 29, 2009	Lehman Brothers Treasury Co. BV	EUR 30'000
XS0176153350	49651	October 29, 2009	Lehman Brothers Treasury Co. BV	EUR 30'000
XS0183944643	49651	October 29, 2009	Lehman Brothers Treasury Co. BV	EUR 185'000
XS0183944643	49651	October 29, 2009	Lehman Brothers Treasury Co. BV	EUR 15'000
XS0186883798	49651	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 50'000
XS0186883798	49651	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 80'000
XS0186243118	49651	October 29, 2009	Lehman Brothers Treasury Co. BV	CHF 200'000
XS0187966949	49651	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 10'000
XS0200265709	49651	October 29, 2009	Lehman Brothers Treasury Co. BV	CHF 20'000
XS0232035880	49651	October 29, 2009	Lehman Brothers Treasury Co. BV	EUR 20'000
XS0232037159	49651	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 30'000
XS0242136413	49651	October 29, 2009	Lehman Brothers Treasury Co. BV	CHF 30'000
XS0247679573	49651	October 29, 2009	Lehman Brothers Treasury Co. BV	EUR 70'000
XS0251909635	49651	October 29, 2009	Lehman Brothers Treasury Co. BV	300 Units
XS0258396927	49651	October 29, 2009	Lehman Brothers Treasury Co. BV	CHF 75'000
XS0265627751	49651	October 29, 2009	Lehman Brothers Treasury Co. BV	1350 Units
XS0265627751	49651	October 29, 2009	Lehman Brothers Treasury Co. BV	2700 Units
CH0027120663	49651	October 29, 2009	Lehman Brothers Treasury Co. BV	CHF 30'000
CH0027120671	49651	October 29, 2009	Lehman Brothers Treasury Co. BV	CHF 40'000
CH0027120689	49651	October 29, 2009	Lehman Brothers Treasury Co. BV	EUR 6'000
CH0027120812	49651	October 29, 2009	Lehman Brothers Treasury Co. BV	CHF 50'000
CH0027120820	49651	October 29, 2009	Lehman Brothers Treasury Co. BV	EUR 20'000
XS0309835139	49651	October 29, 2009	Lehman Brothers Treasury Co. BV	100 Units
XS0324890440	49651	October 29, 2009	Lehman Brothers Securities NV	CHF 25'000
XS0324890440	49651	October 29, 2009	Lehman Brothers Securities NV	CHF 100'000
XS0324890440	49651	October 29, 2009	Lehman Brothers Securities NV	CHF 100'000
XS0324890440	49651	October 29, 2009	Lehman Brothers Securities NV	CHF 100'000
XS0324890440	49651	October 29, 2009	Lehman Brothers Securities NV	CHF 30'000
XS0324890440	49651	October 29, 2009	Lehman Brothers Securities NV	CHF 15'000
XS0324890440	49651	October 29, 2009	Lehman Brothers Securities NV	CHF 25'000
CH0029197156	49651	October 29, 2009	Lehman Brothers Treasury Co. BV	CHF 10'000
DE000A0MJHE1	49651	October 29, 2009	Lehman Brothers Treasury Co. BV	35 Units
DE000A0NTKC6	49651	October 29, 2009	Lehman Brothers Treasury Co. BV	EUR 50'000
XS0300658597	49651	October 29, 2009	Lehman Brothers Treasury Co. BV	CHF 20'000
XS0302351266	49651	October 29, 2009	Lehman Brothers Treasury Co. BV	CHF 20'000
XS0318056354	49651	October 29, 2009	Lehman Brothers Treasury Co. BV	CHF 200'000
XS0320322901	49651	October 29, 2009	Lehman Brothers Securities NV	CHF 100'000
CH0034774536	49651	October 29, 2009	Lehman Brothers Securities NV	CHF 70'000
CH0034774536	49651	October 29, 2009	Lehman Brothers Securities NV	CHF 10'000
XS0346007320	49651	October 29, 2009	Lehman Brothers Treasury Co. BV	EUR 90'000
XS0345288459	49651	October 29, 2009	Lehman Brothers Securities NV	USD 25'000
XS0345288459	49651	October 29, 2009	Lehman Brothers Securities NV	USD 10'000
XS0345288459	49651	October 29, 2009	Lehman Brothers Securities NV	USD 45'000
XS0347732892	49651	October 29, 2009	Lehman Brothers Securities NV	USD 40'000
CH0036891395	49651	October 29, 2009	Lehman Brothers Securities NV	EUR 20'000
XS0367651782	49651	October 29, 2009	Lehman Brothers Treasury Co. BV	CHF 90'000

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UNITED STATES BANKRUPTCY COURT
Southern District of New York

In re Lehman Brothers Holdings, Inc.

Case No 08-13555(JMP)

TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Notenstein Privatbank AG
Name of Transferee

Wegelin & Co. Privatbankiers
Name of Transferor

Name and Address where notices to transferee should be sent:

Notenstein Privatbank AG
Bohl 17
CH-9004 St. Gallen
Switzerland

Court Claim # (if known): 55813-04
Amount of Claim: Note: This is a Partial
Transfer of Claim. See
attached Evidence of
Transfer of Claim for
Details

Date Claim Filed: 10/30/2009

Phone: +41 71 242 57 52
Email: ca@notenstein.ch
Last Four Digits of Acct #: n/a

Phone: _____
Last Four Digits of Acct. #: n/a

Name and Address where transferee payments should be sent (if different from above):

Phone: _____
Last Four Digits of Acct #: _____

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: [Signature] Date: 10.05.2012
Transferee/Transferee's Agent

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

EVIDENCE OF TRANSFER OF CLAIM


TO: THE DEBTOR AND THE BANKRUPTCY COURT

For value received, the adequacy and sufficiency of which are hereby acknowledged, Wegelin & Co., Privatbankiers ("Transferor") unconditionally and irrevocably transferred to Notenstein Privatbank AG ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (**Claim No. 55813-04**) CHF 40'000.-- in nominal value/units related to the security with International Securities Identification Number listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.


IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS
EXECUTED ON May 14th, 2012.

Wegelin & Co., Privatbankiers

By: 

Name: Michele Moor

Title: Managing Partner

By: 

Name: Stephan Welti

Title: General Counsel

Schedule 1

Lehman Programs Securities Related to Transferred Portion of Claim

ISIN	Court Claim #	Date Claim Filed	Issuer	Units/Amount of Claim Related to Security
XS0234123650	55813-04	October 29, 2009	Lehman Brothers Treasury Co. BV	CHF 40'000

B 210A (Form 210A) (12/09)

UNITED STATES BANKRUPTCY COURT
Southern District of New York

In re Lehman Brothers Holdings, Inc.

Case No 08-13555(JMP)

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A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Notenstein Privatbank AG

Name of Transferee

Wegelin & Co. Privatbankiers

Name of Transferor

Name and Address where notices to transferee should be sent:

Notenstein Privatbank AG
Bohl 17
CH-9004 St. Gallen
Switzerland

Court Claim # (if known): 55829-57

Amount of Claim: Note: This is a Partial
Transfer of Claim. See
attached Evidence of
Transfer of Claim for
Details

Date Claim Filed: 10/30/2009

Phone: +41 71 242 57 52

Phone: _____

Email: ca@notenstein.ch

Last Four Digits of Acct #: n/a

Last Four Digits of Acct. #: n/a

Name and Address where transferee payments should be sent (if different from above):

Phone: _____

Last Four Digits of Acct #: _____

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: [Signature] Date: 30.05.2012
Transferee/Transferee's Agent

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

EVIDENCE OF TRANSFER OF CLAIM


TO: THE DEBTOR AND THE BANKRUPTCY COURT

For value received, the adequacy and sufficiency of which are hereby acknowledged, Wegelin & Co., Privatbankiers ("Transferor") unconditionally and irrevocably transferred to Notenstein Privatbank AG ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (**Claim No. 55829-57**) **15 units** in nominal value/units related to the security with International Securities Identification Number listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.


IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS
EXECUTED ON May 14th, 2012.

Wegelin & Co., Privatbankiers

By: 

Name: Michele Moor

Title: Managing Partner

By: 

Name: Stephan Welte

Title: General Counsel

Schedule 1

Lehman Programs Securities Related to Transferred Portion of Claim

ISIN	Court Claim #	Date Claim Filed	Issuer	Units/Amount of Claim Related to Security
ANN521331184	55829-57	October 29, 2009	Lehman Brothers Treasury Co. BV	15 units

B 210A (Form 210A) (12/09)

UNITED STATES BANKRUPTCY COURT
Southern District of New York

In re Lehman Brothers Holdings, Inc.

Case No 08-13555(JMP)

TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Notenstein Privatbank AG

Name of Transferee

Wegelin & Co. Privatbankiers

Name of Transferor

Name and Address where notices to transferee should be sent:

Notenstein Privatbank AG
Bohl 17
CH-9004 St. Gallen
Switzerland

Court Claim # (if known): 55829-72

Amount of Claim: Note: This is a Partial
Transfer of Claim. See
attached Evidence of
Transfer of Claim for
Details

Date Claim Filed: 10/30/2009

Phone: +41 71 242 57 52

Email: ca@notenstein.ch

Last Four Digits of Acct #: n/a

Phone: _____

Last Four Digits of Acct. #: n/a

Name and Address where transferee payments should be sent (if different from above):

Phone: _____

Last Four Digits of Acct #: _____

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: _____

Transferee/Transferee's Agent

Date: 10.05.2012

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

EVIDENCE OF TRANSFER OF CLAIM


TO: THE DEBTOR AND THE BANKRUPTCY COURT

For value received, the adequacy and sufficiency of which are hereby acknowledged, Wegelin & Co., Privatbankiers ("Transferor") unconditionally and irrevocably transferred to Notenstein Privatbank AG ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (**Claim No. 55829-72**) **USD 20'000.--** in nominal value/units related to the security with International Securities Identification Number listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.


IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS
EXECUTED ON May 14th, 2012.

Wegelin & Co., Privatbankiers

By: 

Name: Michele Moor

Title: Managing Partner

By: 

Name: Stephan Welte

Title: General Counsel

Schedule 1

Lehman Programs Securities Related to Transferred Portion of Claim

ISIN	Court Claim #	Date Claim Filed	Issuer	Units/Amount of Claim Related to Security
XS0186883798	55829-72	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 20'000